

## GUIDELINES AND RECOMMENDATIONS

# Predicting and measuring fluid responsiveness with echocardiography

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### Abstract

Echocardiography is ideally suited to guide fluid resuscitation in critically ill patients. It can be used to assess fluid responsiveness by looking at the left ventricle, aortic outflow, inferior vena cava and right ventricle. Static measurements and dynamic variables based on heart–lung interactions all combine to predict and measure fluid responsiveness and assess response to intravenous fluid resuscitation. Thorough knowledge of these variables, the physiology behind them and the pitfalls in their use allows the echocardiographer to confidently assess these patients and in combination with clinical judgement manage them appropriately.

### Key Words

- ▶ echocardiography
- ▶ guidelines
- ▶ haemodynamics
- ▶ ventricular function
- ▶ ultrasound protocols

### Introduction

Echocardiography is an essential tool for guiding resuscitation in critically ill patients. Resuscitation often requires the infusion of intravenous fluid in an effort to reverse organ dysfunction. The harms of inappropriate use of fluid are becoming increasingly apparent (1, 2).

Although the purpose of fluid resuscitation is often to increase cardiac output, blood flow is not routinely used to guide resuscitation. Sufficient mean arterial pressure (MAP) is rightly targeted but is proportional to flow only for a given systemic vascular resistance (SVR), which is a dynamic component of circulatory state.

Measurement of flow requires more equipment, time and expertise than standard parameters such as blood pressure, and the value that achieves adequate perfusion for an individual patient is not predictable. Mixed venous oxygen saturations and lactate are useful but only reveal a large mismatch between supply and demand, missing subtler shock states.

The question of whether the patient improves with fluid, additional vasopressors or inotropes can be difficult to answer. Echocardiography is an evidence-based approach and ideally suited to address this problem.

This article first outlines the physiological basis of fluid resuscitation. Then there is a description of the concept of fluid responsiveness (FR) and how this can be assessed with echocardiography. In addition, the limitations and pitfalls are discussed.

### Background to fluid responsiveness

The ultimate goal of resuscitation with fluids, vasopressors and inotropes is to ensure adequate oxygen delivery ( $DO_2$ ) to prevent or treat organ dysfunction. There are some fundamental principles that must be appreciated:

$$DO_2 = CaO_2 \times CO$$

$$CO = SV \times HR$$

$$MAP = CO \times SVR$$

where  $DO_2$  refers to oxygen delivery,  $CaO_2$  refers to oxygen content of arterial blood, CO refers to cardiac output, SV refers to stroke volume, HR refers to heart rate, MAP

refers to mean arterial pressure and SVR refers to systemic vascular resistance.

SV is the amount of blood ejected from the heart with each beat and is dependent on preload (end-diastolic wall tension), contractility and afterload (end-systolic wall tension). When myocytes are stretched, they contract more forcefully and so SV increases as venous return (VR) increases (Frank–Starling law). This is the foundation for the concept of fluid responsiveness. However, when stretched beyond a certain level, they are unable to contract more forcefully and so SV does not increase further (fluid ‘unresponsiveness’). This is depicted in Fig. 1.

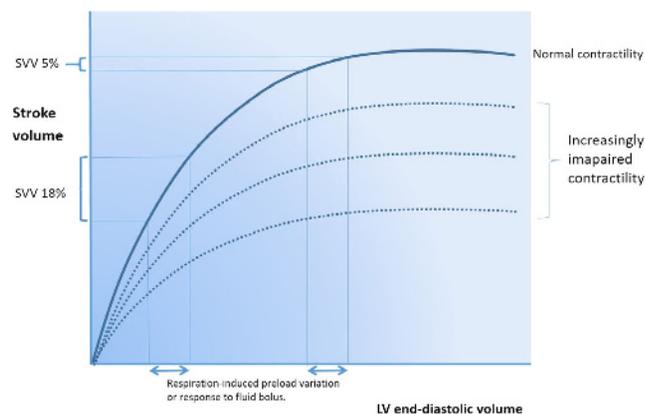
Increased pressure in the left atrium and pulmonary vasculature may then result in excessive capillary engorgement, resulting in pulmonary oedema. In clinical practice, a fluid responder is generally defined as someone who increases their stroke volume by >15% after a 500mL fluid challenge. Around 50% of fluid challenges administered in critically ill patients do not result in an increase in SV, exposing these patients to potential harm (3, 4).

Preload, or VR, is determined by the pressure gradient between capacitance veins and the right atrium (RA). The pressure in the veins is termed ‘mean circulatory filling pressure’ (MCFP) or ‘mean systemic pressure’ (MSP) (5):

$$VR = \frac{(MSP - RAP)}{SVR}$$

where VR refers to venous return, MSP refers to mean systemic pressure, RAP refers to right atrial pressure and SVR refers to systemic vascular resistance.

MSP is regulated to a great extent by the effect of sympathetic nervous system on the splanchnic venous



**Figure 1**

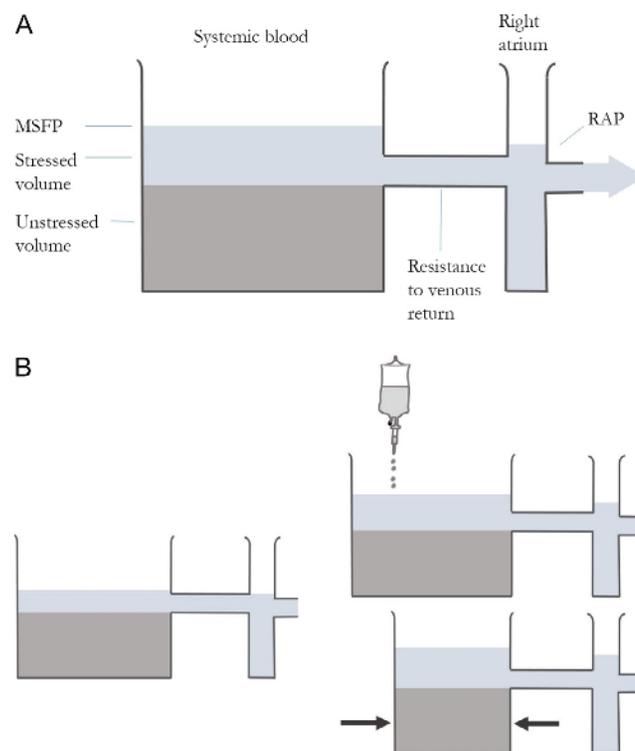
The Frank–Starling curve. Lower on the curve a given change in preload results in a large change in stroke volume. On the higher, flatter portion, the same preload change has minimal effect on stroke volume.

system. This contains 20% of the total blood volume, is 30 times more compliant than the arterial circulation and is heavily innervated with  $\alpha$ -adrenoceptors. It serves as a reservoir of blood made up of capacitance vessels easily able to change in volume to maintain VR to the heart (6).

A visual analogy is shown in Fig. 2. MSP is increased by fluid administration and by vasoconstriction. This partly explains the improvement in cardiac output sometimes seen with vasopressor administration. If both ventricles are preload dependent, that is they lie on the steep part of the Starling curve, stroke volume increases appreciably.

### Static parameters

Preload is defined as end-diastolic wall tension which, while related to, is not the same as LV pressure or volume. Consequently, an individual’s Starling curve is



**Figure 2**

Stressed volume and venous return. (A) The fluid below the outlet is unstressed venous volume and does not contribute to flow out of the tank. The additional fluid in the tank is stressed volume, which drives venous return. Lowering RAP or increasing MSP in isolation would increase VR. (B) The proportion of the circulation that is stressed volume can be increased by giving fluid (attenuated somewhat by reflex venodilatation) or reducing the size of the tank (giving a vasopressor to convert unstressed to stressed volume).

governed by their myocardial contractility. This means that, although they say something about preload, static markers such as CVP, pulmonary capillary wedge pressure and ventricular volumes do not reliably predict FR. This has been borne out in over 100 studies since 1970s (7).

### Dynamic parameters

Dynamic parameters are information gained from provoking the circulation by inducing changes in the loading conditions of the heart. In reality, this provocation is either in the form of heart–lung interactions or a change in posture.

### Heart–lung interactions

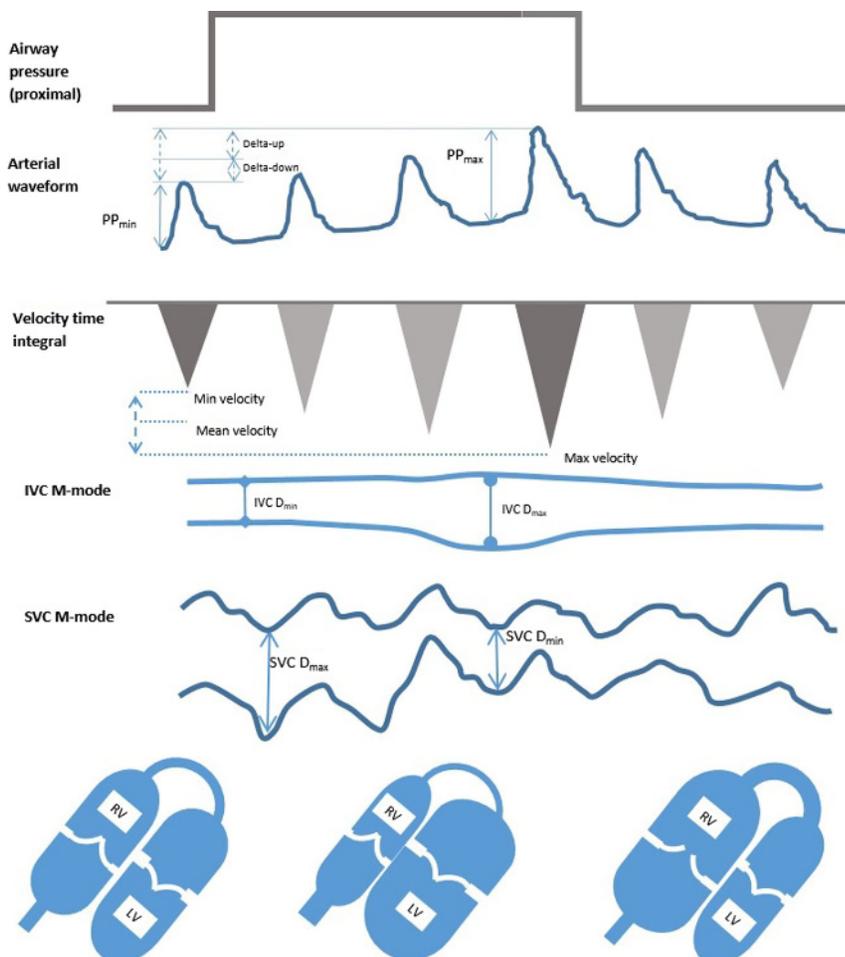
Ventilation induces cyclical changes in intrathoracic pressure (ITP), which in turn cause alterations in SV (Fig. 3). These changes are composed of two elements termed delta-Up and delta-Down (8).

**dDown** In positive pressure inspiration:

- Increased ITP reduces venous return. If hypovolaemia is present, this is exaggerated by collapse of the SVC.
- Increased transpulmonary pressure (TPP) compresses pulmonary vessels and increases RV afterload. At higher levels, small increases in pressure can result in large increases in PVR, explaining the sensitivity of the RV to high ventilation pressures.

Both these phenomena reduce RV output. This causes reduced LV output a few heartbeats later.

Decrease in venous return plays the biggest role in normovolaemia and hypovolaemia. Increased afterload dominates if there is significantly reduced lung compliance (with normovolaemia), pulmonary hypertension or significant right heart failure (9). Although both mechanisms lead to the same result, the appropriate treatment is very different. Fluid may be indicated if there is reduced venous return, whereas it could be detrimental with increased TTP.



**Figure 3**

The physiology of respiratory-induced flow and pressure changes during positive pressure ventilation without additional respiratory effort. The inspiratory rise in intrathoracic pressure is transmitted, at least in part, to the pericardium and causes increased transmural pressure across the RV wall, plethora within the IVC and compression of the SVC. The RV stroke volume immediately falls. Concurrently, the pulmonary vasculature is compressed, forcing blood into the LV causing an initial increase in LV stroke volume. After the pulmonary transition time, the LV receives less blood and its stroke volume falls. This effect is exaggerated in states of low circulating volume and attenuated in the overloaded system or when either ventricle is failing. PP pulse pressure, IVC D inferior vena cava diameter, SVC D superior vena cava diameter.

**dUp** Increased TPP reduces LV afterload and compresses pulmonary capillaries in inspiration, forcing blood into the left heart, and increasing stroke volume. Therefore, dUp is unrelated to fluid responsiveness (10).

Biventricular failure has an exaggerated dDown and dUp causing false positives for FR.

It can be appreciated from the explanations above that the cyclical changes in intrathoracic pressure from mechanical ventilation induce cyclical changes in preload and SV if the ventricles are on the steep ascending part of the Starling curve, i.e. if they are fluid responsive.

### Postural change

Changes in posture such as from a head up to head down position also alter the heart's loading conditions by transferring blood between the leg veins and the central circulation. A manoeuvre termed passive leg raising (PLR) and the interpretation of the physiological response to this are now well studied. The PLR test and its assessment with echocardiography are discussed later.

### Predicting fluid responsiveness

There is now a large body of evidence to show that various dynamic parameters (both invasive and non-invasive) have a high sensitivity and specificity for predicting FR. These include pulse pressure variation, systolic pressure variation, stroke volume variation, systolic velocity variation, end-expiratory occlusion and leg raise-induced changes (11).

The variation in pulse pressure, measured by analysis of the arterial waveform, has been shown to be a valid method when a threshold of around 12% is used. The variation in stroke volume as determined by cardiac output monitoring, usually also done by arterial waveform analysis, appears equally valid when a similar cut-off value is employed.

End-expiratory occlusion involves holding the patient at end-expiration for approximately 15s and examining the change in cardiac output that results. A rise in stroke volume strongly suggests fluid responsiveness.

Ultrasound devices using Doppler to study the descending aortic flow use the same principles as those used in echocardiography. The most common of these is the oesophageal Doppler device. This is 'semi-invasive' and usually requires the patient to be asleep. Positioning of the probe to achieve an accurate signal can sometimes be difficult.

These methods are clearly useful but are limited by the requirement of an arterial cannula and a precise arterial

trace. Movement artefact, kinking of the catheter and over- or under-damping of the waveform affect accuracy.

Echocardiography provides much more information on the causes of shock than just FR and is increasingly considered the first-line monitoring tool of choice in haemodynamically compromised patients. Both static and dynamic parameters may be assessed to build a picture of the circulatory state.

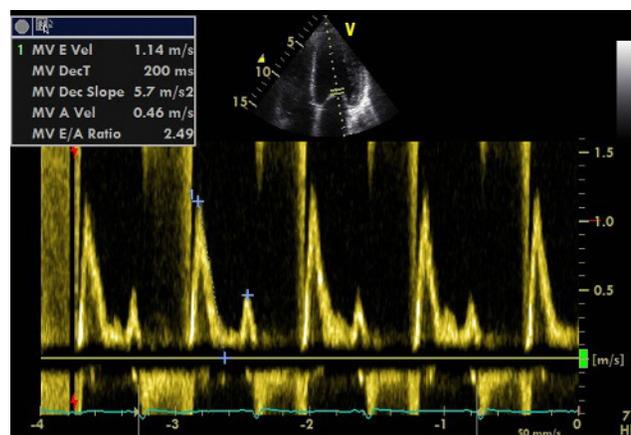
### Before the fluid challenge; using echocardiography to predict fluid responsiveness

Echocardiography avoids the need for invasive lines and probes. Although it suffers from its own set of limitations, it is non-invasive and also provides a wealth of qualitative information in addition to the quantitative assessment for accurate circulating volume assessment. It can be used to assess the effect of a fluid challenge.

The most prevalent ways of using echocardiography for assessing volume status are discussed here.

#### Left ventricle

**LV size** Although static parameters have a poor ability to predict FR, there are some suggestive features that are seen with overt hypovolaemia. The size of the LV is predictive of FR only when it is very small. A hyperdynamic LV with an end-diastolic area in the PSAX view of less than 10cm<sup>2</sup> or papillary apposition (kissing ventricles) is strongly indicative of hypovolaemia (10, 12). Remember this appearance is



**Figure 4**  
PW Doppler of MV inflow demonstrating high LV filling pressure.

also found in LV hypertrophy, highly inotropic and vasodilated states, so care should be taken when interpreting these findings. It is usually obvious from clinical signs where there is profound hypovolaemia.

Changes in LV size as assessed by TOE reflect changes in preload (13). However, increasing preload does not necessarily increase SV, and LV size is a poor predictor of fluid responsiveness. Variation in LV stroke area with respiration has been shown to predict fluid responsiveness (change >16%). This is impractical without appropriate software in the echo machine as it uses automated border detection on a beat-to-beat basis (14).

An additional important LV feature to check for is dynamic outflow tract obstruction. In patients with known hypertrophic obstructive cardiomyopathy, hypovolaemia accentuates the obstruction and can be fatal. Significant narrowing of the outflow tract during systole can be induced by under filling of the LV in patients, particularly when in a hyperdynamic state such as sepsis, or during inotrope infusions in the presence or absence of proximal septal thickening (15). Careful assessment of the outflow tract is, therefore, obligatory and should include searching for systolic high outflow tract velocity and anterior movement of the anterior mitral valve leaflet.

**LVEDP** A restrictive pattern in MV flow should prompt caution with fluid administration as it reflects elevated LV diastolic pressure (Fig. 4). It should be remembered, however, that if LV compliance is reduced, the LVED pressure–volume relationship is shifted up and left so:

- The LV can be under-filled despite high filling pressures.
- The optimum filling range is narrow: it is under or overfilled easily.

Therefore, a hypovolaemic LV with diastolic dysfunction may have elevated filling pressures, may respond well to fluid, but will easily be overloaded with pulmonary oedema resulting.

An additional reason for caution in using LV inflow pattern is the occurrence of mild diastolic impairment in hypovolaemia (9).

### LV outflow variation

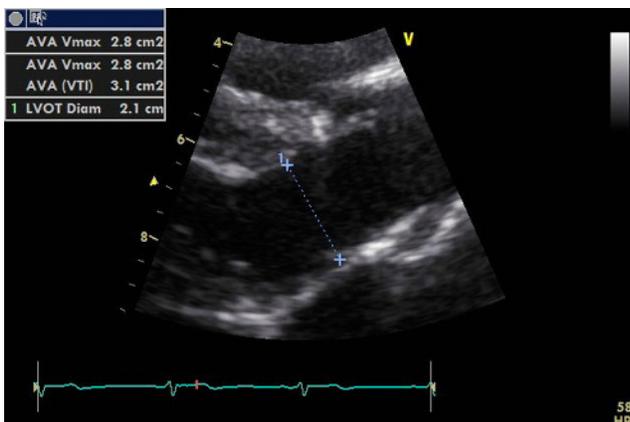
**Stroke volume variation** Stroke volume variation is a good indicator of fluid responsiveness (4). Measurement of stroke volume is relatively simple with echocardiography:

- Flow through a tube is  $velocity \times cross\text{-}sectional\ area$  if flow is constant.
- Blood flow is pulsatile rather than constant, so we need to calculate volume per contraction.
- Measuring the velocity time integral VTI (measurement of all the velocities of RBCs for each contraction at a certain point) can be done by tracing the spectral Doppler envelope.
- This is measured in centimetres and represents how far the column of blood is ejected (stroke distance).

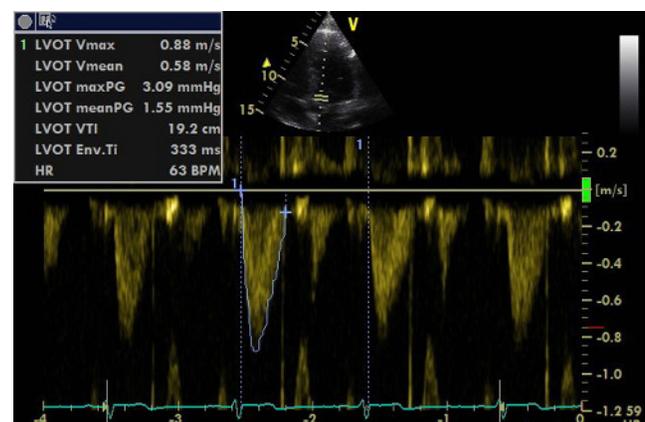
$$\text{volume} = \text{area} \times \text{length}$$

therefore:

$$\text{volume} = \text{area} \times \text{velocity} \times \text{time}$$



**Figure 5**  
PLAX view optimized for measuring the LVOT diameter.



**Figure 6**  
Tracing the PWD waveform to get the VTI value.

So the area under the PW Doppler trace (VTI) is the stroke distance (SD):

$$\text{flow volume (SV)} = \text{SD (or VTI)} \times \text{CSA}$$

$$\text{CSA} = \pi r^2$$

or

$$\text{CSA} = 0.785 \times (\text{diameter})^2$$

Thus, SV can be calculated by measuring VTI and diameter at the same point. This is best performed by measuring the diameter of the LVOT in the parasternal long axis (PLAX) view, remembering an error is squared. Optimize the view by minimizing the sector width and depth (Fig. 5).

After switching to an apical five-chamber view (A5C), the PW Doppler sample box should be placed at the same point at which the LVOT was measured in the PLAX view. The VTI can then be traced (Fig. 6).

Decreasing the sweep speed allows aortic flows to be seen over more than one respiratory cycle. Tracing the largest and smallest VTI over a respiratory cycle allows the percentage change to be calculated.

Stroke volume variation of more than 12% accurately predicts fluid responsiveness with values over 14% having a very high positive predictive value and less than 10% a high negative predictive value (4). Variation of 12–14% represents a grey area and should encourage a search for other markers.

**Aortic peak velocity and VTI variation** The LVOT can be assumed not to change in size over the respiratory and cardiac cycle and so changes in aortic blood flow reflect changes in stroke volume. This can be demonstrated with CW or PW Doppler with the sweep speed set to ensure that several respiratory cycles are represented. Evidence to date has used PW Doppler to show changes in  $V_{\text{max}}$  or VTI, so we recommend using PW Doppler. The sample box should be placed at the level of the aortic valve or within 1 cm of it, in the LVOT, just as it would be when measuring SV. Peak velocity variation of 12% in both adults and children predicts fluid responsiveness (12) and VTI variation is also predictive (Fig. 7).

It is essential to remember that these percentage variations in SV, VTI or peak velocity are calculated using the following equation:

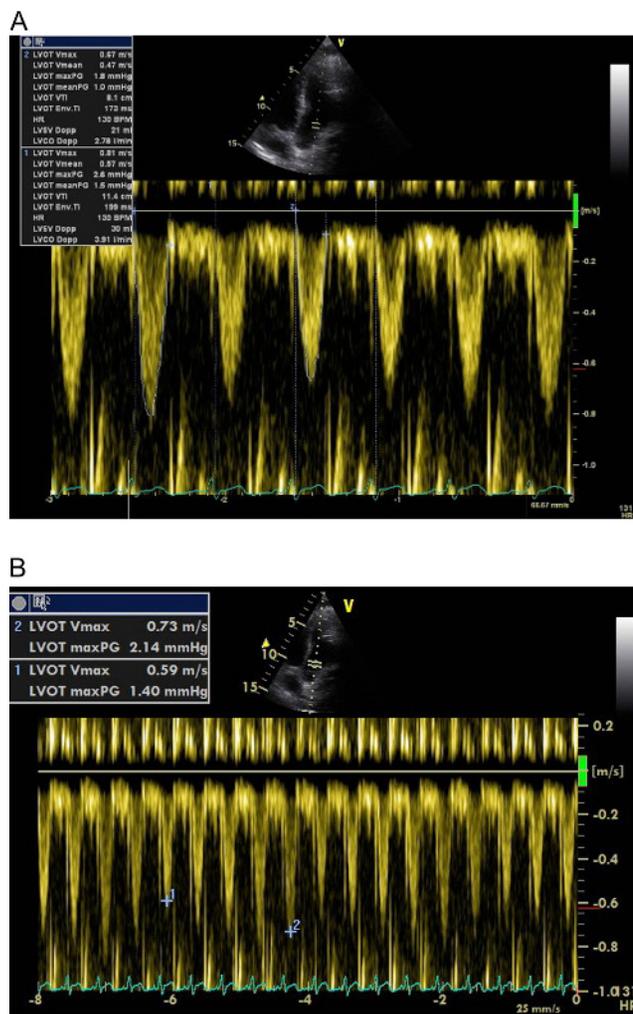
$$\frac{SV_{\text{max}} - SV_{\text{min}}}{\text{mean}}$$

or in full

$$\text{variation} = 100 \times \frac{(SV_{\text{max}} - SV_{\text{min}})}{((SV_{\text{max}} + SV_{\text{min}}) \times 0.5)}$$

**Pitfalls of using LV outflow variation** SVV, SPV, PPV, peak velocity and VTI variation are only valid with certain preconditions:

1. The patient must be in sinus rhythm otherwise stroke volume may vary because of the arrhythmia.



**Figure 7**  
(A) PWD in the LV outflow tract. VTI measurement of the smallest and largest envelope within the respiratory cycle. (B) Measuring  $V_{\text{max}}$  variation with appropriate sweep speed.



A variation threshold of 18% is used if the following formula for the 'IVC distensibility index' is used. IVC variability is as follows (23):

$$DI_{IVC} = \frac{(D_{max} - D_{min})}{D_{min}}$$

$DI_{IVC}$  refers to IVC distensibility index.

IVC diameter variation has also been studied in spontaneously breathing patients without respiratory support; however, the evidence to support its use is weak and cannot be advocated at this time (25).

**SVC variation** The SVC is difficult to see with TTE but can be easily visualized with TOE in the longitudinal 90- to 100-degree view. Diameter changes are opposite of the IVC in IPPV. The SVC partially collapses in mechanical inspiration, as the increase in pleural pressure is greater than the increase in RAP with a positive pressure breath. The collapsibility index of the SVC has been shown to be predictive of FR with a variation in SVC size of 36%, being an appropriate cut-off value using the equation  $100 \times (D_{max} - D_{min}) / D_{min}$  (26).

**Pitfalls of great vein variations** With respiration, the IVC can move out of the plane of the US beam, falsely mimicking changes in diameter. The IVC wall must be clearly visualized throughout the respiratory cycle and the bright edges kept in view. Work of breathing with any spontaneous ventilation has a significant impact on IVC size over the respiratory cycle and, similar to LVOT flow variations, spontaneous breathing and TVs of less than 8 mm/kg may invalidate conclusions on FR. Arrhythmia seems to be less confounding for IVC interpretation than for LV outflow variation.

### Right ventricle

**RV size** A dilated right ventricle is commonly seen in critically ill patients due to acute *cor pulmonale* compounded by fluid administration. Acute *cor pulmonale* can be caused by high ventilation pressures, which are sometimes necessary to ventilate stiff lungs, so the mode of ventilation and pressures delivered should be noted. The RV can easily dilate to accommodate increased

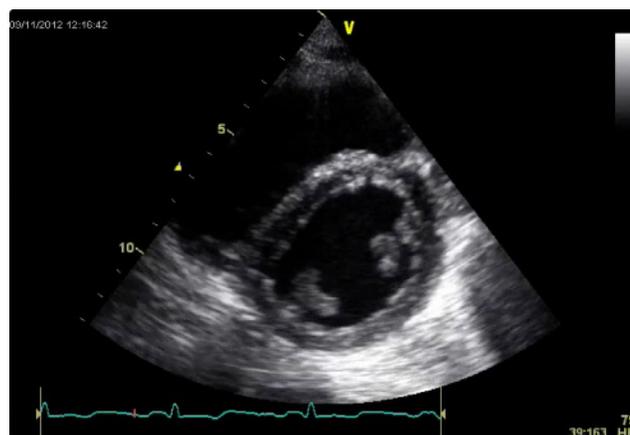
volume loading but tolerates acute pressure loading poorly. Patients with RV dilatation from pulmonary embolism have been shown to increase their SV with a fluid challenge; however, a dilated RV should prompt caution in fluid administration (27). As both ventricles occupy a relatively fixed space constrained by the pericardium, the RV dilates at the expense of LV size (Fig. 9). An increase in RV size with no increase in SV is a definite stopping point for fluid administration. Paradoxical septal wall motion demonstrating very high RV pressure may be a contraindication to IV fluid.

Importantly, RV failure results in false positives for fluid responsiveness. The increased afterload induced by a positive pressure breath exaggerates dDown producing significant SV or VTI variation.

### Passive leg raising

Passive leg raising is a simple method of predicting fluid responsiveness (28). It is performed by tilting a patient from a 45-degree semi-recumbent head up position to a 45-degree leg up position, which transfers up to 300 mL of blood into the central circulation. Tipping the whole bed and not lifting the legs avoids compression of the femoral veins. Stroke volume or simply VTI across either outflow tract is measured before and 1 min after the PLR. An increment of 10% suggests FR.

The fluid shift settles within a few minutes, so runs none of the risks of excess administration. It also overcomes some of the limitations of the dynamic



**Figure 9** RV dilatation and septal flattening is evident in this PSAX view. LV filling is being impaired.

parameters above, as it can be used in spontaneous ventilation and arrhythmias.

Pain on tilting, lower limb amputation or severe peripheral vascular disease, and raised intracranial pressure are contraindications to PLR.

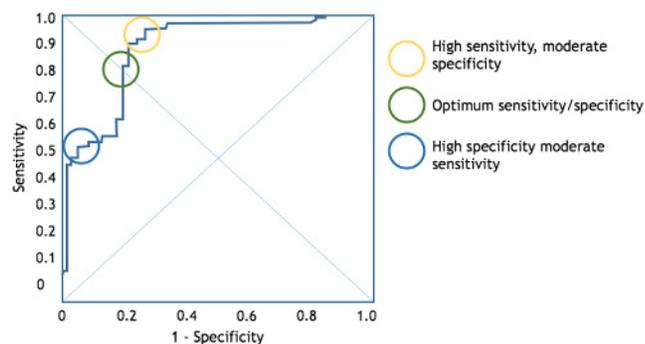
## After a fluid challenge

As seen above, dynamic parameters in particular are extremely useful for predicting fluid responsiveness. The gold standard, however, must be whether SV actually increases with a fluid challenge. A fluid challenge is generally defined as rapid administration of 250–500 mm of intravenous fluid (29).

Assessment of the response in flow to a fluid challenge is easy with echocardiography. It is really only necessary to measure LVOT VTI rather than adding in an LVOT measurement to get SV. Measurement of VTI should occur immediately before and after a fluid challenge. Measurements should ideally be end-expiratory or averaged over several consecutive beats.

Recently, it has been shown that a mini-fluid challenge of only 100 mL accurately predicts FR using variations in aortic VTI with TTE (30). It should be noted that significant improvements in SV may not be reflected by changes in blood pressure; however, blood flow and calculated oxygen delivery are improved.

The length of time that cardiac output remains increased after a successful fluid bolus is poorly studied. In health, redistribution of most of a crystalloid bolus occurs within 45 min, slightly longer for colloids. In disease states, this duration is very variable and may be as brief as 20 min (31). As such, the need for frequent reassessment of circulating volume is important.



**Figure 10**  
A typical ROC curve for the power of echocardiography to predict fluid responsiveness. The 'optimum' threshold is neither the most sensitive nor specific.

A further consideration is the dilutional effects of fluid administration. Although cardiac output may be increased, haemoglobin has been necessarily diluted. The balance of these effects determines whether overall oxygen delivery has been augmented. Equally frequent checks on haemoglobin or haematocrit are, therefore, often advised.

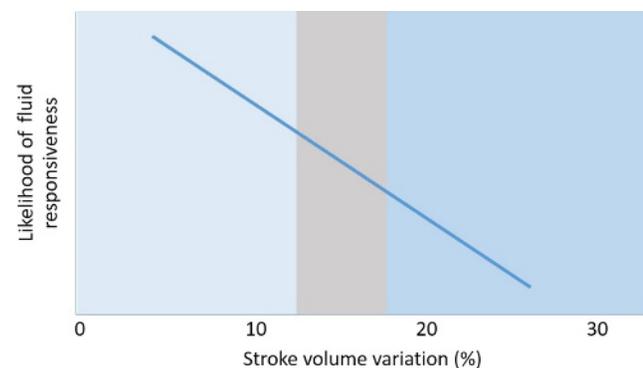
## Fluid tolerance

When there is doubt about whether a fluid challenge is appropriate, it can be reassuring to elicit signs with echocardiography that suggest that fluid administration will at least not lead to pulmonary oedema or right heart failure. In both spontaneously breathing and ventilated patients, a small IVC that varies in size with respiration, non-dilated right heart chambers, a non-displaced inter-ventricular septum, absence of right and left ventricular systolic failure and absence of markers of raised LVEDP all suggest that fluid administration will not cause acute harm.

Fluid administration should stop when VTI no longer significantly increases with a fluid bolus. False negatives can be excluded by demonstrating that preload has increased by measuring an increase in LV E velocity, LV E:A ratio or RV size.

## Clinical judgement

The tests that predict fluid responsiveness do not always give a dichotomic answer. Where a study's ROC curve analysis yields a best cut-off of 12% between responders



**Figure 11**  
The 'grey zone' approach to flow variation assessment means that when the result is around the threshold value, further corroborating evidence should be sort from other modalities (e.g. IVC evaluation).

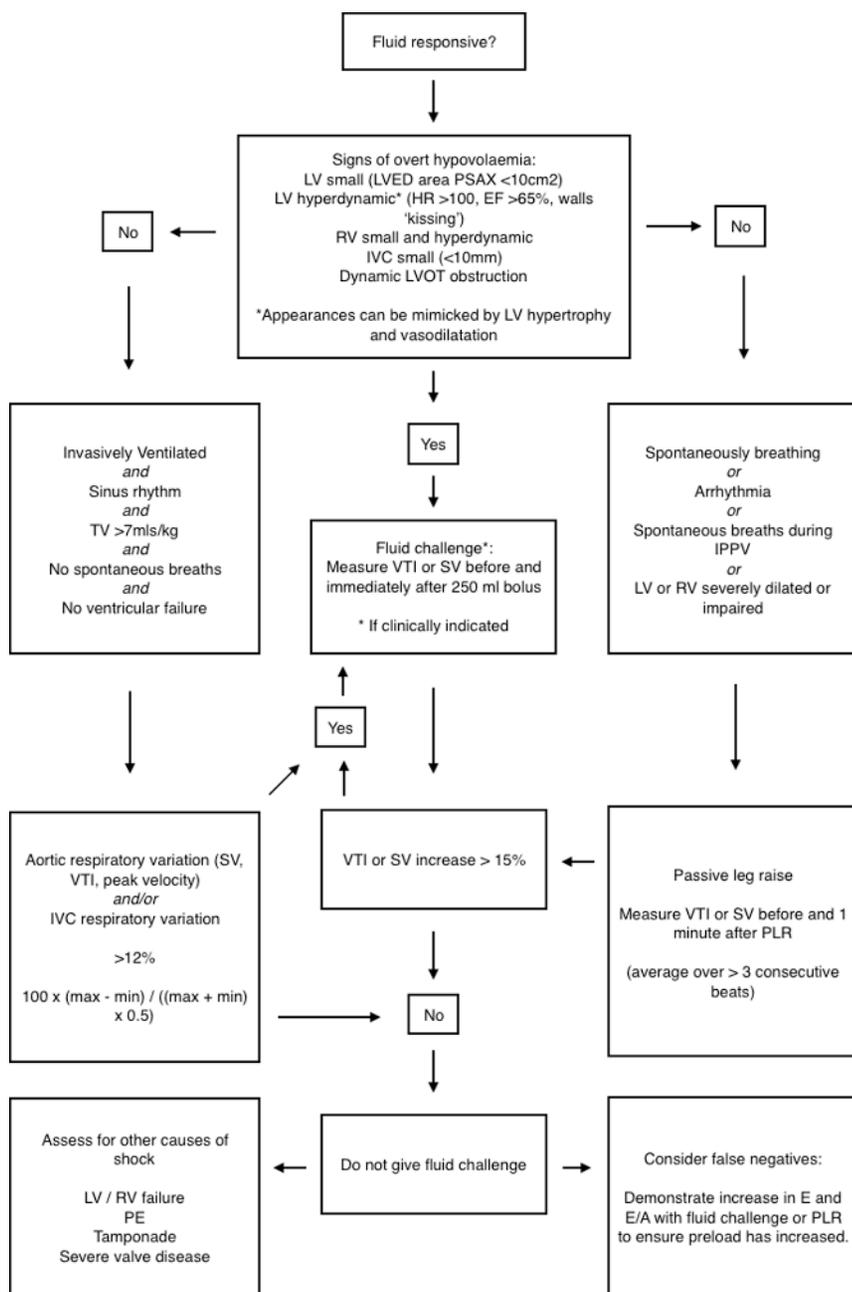
and non-responders, it must be recognized that this is a balance between sensitivity and specificity (Fig. 10). If the tests produce a result around the threshold, then this should trigger a search for other markers of FR (Fig. 11).

FR means SV increases with fluid in the immediate term. However, a patient being fluid responsive does not necessarily mean that fluid is beneficial. Administration of a fluid challenge to a healthy normovolaemic individual very likely results in increased SV. This does not mean they needed fluid or that it was of benefit to them. It is vital for the physician to be aware of the dangers of

unnecessary fluid loading and take the whole clinical picture into account.

### Conclusion

Echocardiography is an ideal imaging modality for rapid assessment of the circulation in the shocked patient. It usually elucidates the cause, whether cardiac ischaemia, cardiomyopathy, acute valve disease, tamponade, significant pulmonary embolism, aortic root pathology or



**Figure 12**  
An algorithm to guide fluid resuscitation using echocardiography.

distributive shock. This article has focused on the fluid optimization phase of resuscitation.

Intravenous fluid boluses can benefit the circulation but also cause harm. The presence of signs that fluid delivery improves cardiac output does not mean that a greater cardiac output is necessary.

Echocardiography is an invaluable tool for assessing both whether a patient will be fluid responsive and what effects the fluid administration has on the heart. There are limitations to its use and interpretation; no single test is immune to false positives and negatives. For example, it has been shown that between 2 and 30% of patients may have tidal volumes outside the range for which outflow tract flow variations are technically valid. However, acknowledging such weaknesses, taking into account the pre-test probability and gathering as many markers as possible, leads to good clinical decision making.

The methods outlined in this article are summed up in an algorithm to assess for fluid responsiveness (Fig. 12).

The principles of the use of echocardiography for volume assessment used in the critically ill are as follows:

1. Small hyperdynamic ventricles with a small IVC suggest significant hypovolaemia.
2. In a shocked patient without signs of overt hypovolaemia, dynamic indices of FR should be sought.
3. Echocardiography can give additional information regarding the validity of other clinical and monitoring markers.
4. Echocardiography informs about the dangers of delivering a fluid bolus in terms of adding to extravascular fluid or worsening LV filling.
5. When interpreting echocardiography findings, the limitations of that particular technique in that particular patient must be taken into account.

## Further research

Although there are numerous signals in the literature that higher overall fluid balance correlates with poorer outcome, the prospective evidence is lacking. Indeed, it is not known whether it is actually better to maintain the patient in a state of fluid responsiveness rather than so often try to maximize stroke volume.

Further research in the use of echocardiography for optimizing circulating volume might explore the in-depth use of diastolic assessment of both LV and RV, the use of strain imaging and the changes induced by small rapid fluid boluses.

## Declaration of interest

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of this guideline.

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