

## REVIEW

# 3D transoesophageal echocardiography in the TAVI sizing arena: should we do it and how do we do it?

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## Abstract

Transcatheter aortic valve implantation (TAVI) was initially proven as an alternative to valve replacement therapy in those beyond established risk thresholds for conventional surgery. With time the technique has been methodically refined and offered to a progressively lower risk cohort, and with this evolution has come that of the significant imaging requirements of valve implantation. This review discusses the role of transoesophageal echocardiography (TOE) in the current TAVI arena, aligning it with that of cardiac computed tomography, and outlining how TOE can be used most effectively both prior to and during TAVI in order to optimise outcomes.

### Key Words

- ▶ 3D transoesophageal echo
- ▶ aortic stenosis
- ▶ transcatheter aortic valve implantation

## Background

After the introduction of transcatheter aortic valve implantation (TAVI) has come the requisite debate as to how best to approach the imaging requirements of this technique. Performed via minimally invasive approaches without direct surgical visualisation of the valve, TAVI has on occasion been cited as a 'blind' procedure. As a consequence, the accurate determination of the size of the intended implant is almost wholly dependent on robust pre-procedural imaging. Annular measurements are of particular importance in the TAVI arena as the consequences of either over or underestimating the size of the required implant can lead to device embolisation, significant paravalvular regurgitation, root rupture and conduction disturbances. Transthoracic echocardiography (TTE), transoesophageal echocardiography (TOE), multi-detector computed tomography (MDCT) and magnetic resonance imaging (MRI) have been extensively studied with respect to pre-procedural aortic annular sizing, and at present, MDCT is both more frequently used and more often cited as the gold standard. This review will examine

the merits of TOE in this arena and will provide a step-by-step guide as to how to optimise aortic annular sizing using this modality.

## Aortic annular anatomy and implications for the imager

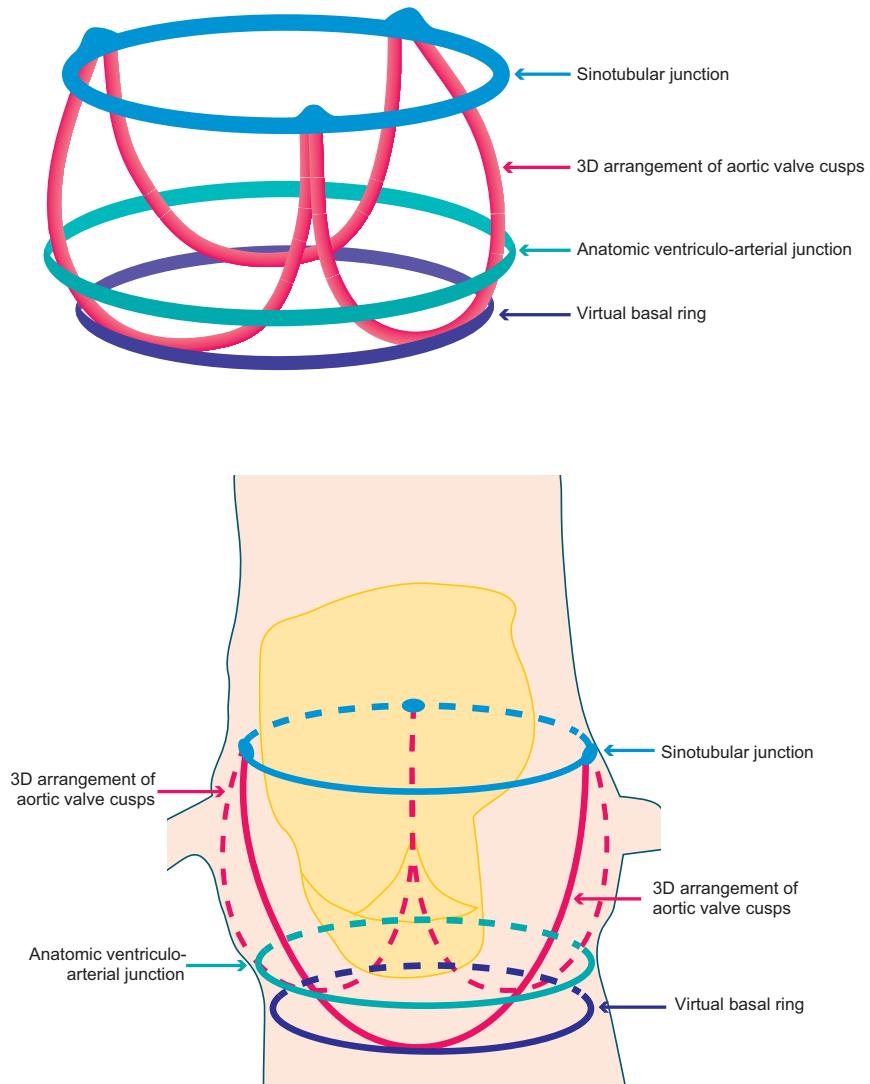
Unlike the mitral valve that has a well-defined anatomical annulus lending itself to analysis, the aortic valve annulus lacks such a distinct geometrical profile. It is therefore important to realise that when referring to the aortic valve, the term 'annulus' refers to a virtual plane at the level of basal attachments of the valve cusps (Fig. 1). As such this is not a true annulus but rather a representation of the geometrical best fit at this position. There has been some recent debate concerning this virtual annulus and the appropriateness of its use in valve sizing. With emerging evidence that it is in fact a 3D structure, there is some inference that the basal



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**Figure 1**

Depiction of the anatomical arrangement of the aortic valve apparatus including the virtual basal ring.

virtual ring that is universally used for valve sizing may in reality significantly underestimate the actual annulus with potential implications for the device landing zone (1). However, for now, the annulus that is measured by all imaging techniques prior to TAVI is the narrowest part of the aortic root, composed of a virtual ring with three anchor points at the base of each of the attachments of the aortic valve leaflets (2).

Despite historically being labelled as circular, the design of the assumed annulus is much more complex than this, and in reality, is ovoid rather than spherical. It is the long axis aortic view that represents the shortest diameter of this oval, making the sizing of this structure for the implantation of a circular valve fraught with potential error if these measurements were to be taken with 2D imaging. For these reasons, 2D analysis in this arena has now been superseded by 3D imaging, which

more accurately delineates non-circular anatomy. This is of particular importance in the TAVI population as with increasing age and hypertension, the aortic annulus becomes progressively less spherical and assumes an increasingly elliptical profile. The progressively oval form of the annulus in aortic stenosis has been comprehensively described in a recent study comparing its profile in those with severe aortic stenosis compared with a normal cohort (3). This study concluded that the LVOT becomes less distensible and undergoes remodeling in severe AS leading to its increasingly ovoid shape. This is not only of critical importance to the imager but also has implications for the assessment of aortic stenosis severity by traditional equations that assume LVOT circularity.

Additionally, as the geometry of the aortic apparatus changes throughout the cardiac cycle, it is important to standardise the phase in which measurements

are acquired. Annular measurements performed by echocardiography are made during mid-systole when root dimensions are maximal and most circular (4). This approach is logical as post-deployment of a transcatheter heart valve (THV), 86% of balloon-expandable valves are circular at the central coaptation point (5), with half of self-expanding valves showing this circularity (6). MDCT on the other hand will size at any point in the cardiac cycle depending on when the optimal image is obtained, (7) although as yet this has not been shown to be a cause of significant error.

These changes in the profile of the annulus during the cardiac cycle have generated debate regarding which measure, area or perimeter, most accurately reflects annular sizing regardless of cyclical effects. Proponents exist of both measures, with one recent study demonstrating equitable predictive value for more than mild paravalvular regurgitation (PVR) with the use of either area or perimeter annular measurements (8). However, it is perimeter sizing that is most often adhered to in manufacturer's sizing charts (Table 1) and offers a logical advantage over area calculation. Area measurements are subject to potentially greater error with increasing annular elongation. As the annulus becomes progressively more ovoid, the area reduces disproportionately to the perimeter leading to potential underestimation of annular size (9). After many years' experience in valve sizing and with careful consideration of the previously mentioned dialogue, it is the practice in our centre to use perimeter-derived measurements for the sizing of the annulus. We have found this method to correlate well with manufacturer sizing charts and perform robustly in predicting accurate valve implant size (10). Although the potential difficulties involved in implanting a circular device into an oval annulus are obvious, it is important to realise that the

shape of the annulus is not the only determinant of valve sizing. In fact, each component of aortic complex including sino-tubular junction, aortic sinuses, LVOT (septal bulge and the extent and position of calcification) as well as coronary ostia height are important factors in determining what type and size of valve is appropriate (8).

The intentional oversizing of implants is a recognised strategy to reduce the risk of PVR (11); however, inadvertent oversizing or undersizing can negatively influence outcomes. Unintentional oversizing increases the risk of rupture of the root, significant conduction disturbances and device underexpansion, whereas unintentional undersizing conveys an elevated risk of clinically significant PVR and device embolization (2, 5). PVR is still the most frequent complication of implanting a transcatheter heart valve (THV) with up to 10% of cases exhibiting moderate or severe PVR (1) and as high as 18% when significant left ventricular outflow tract (LVOT) calcification is present (12). Moderate or severe PVR is an independent predictor of mortality after THV implant (2), and therefore, the temptation may be to err on the side of a larger implant; however, it is worth noting that upsizing from a 23 mm to a 26 mm prosthesis is associated with a 28% increase in external valve area (13). Adherence to the manufacturer sizing charts of these valves has been recently validated in a study of 1023 patients demonstrating lower rates of PVR if the supplied charts are followed (14). Following on from the previous discussion, it is worth mentioning that these measurements were perimeter derived rather than area derived.

## Peri-procedural and general considerations in the use of TOE and MDCT

Although not the primary focus of this review, it is worth mentioning the peri-procedural use of TOE. Real-time imaging guidance throughout implantation offers instantaneous diagnosis of complications, as well as enhanced understanding of the most appropriate landing zone (15). Accurate positioning is of immense importance; a device that is positioned too low may more frequently result in aortic regurgitation, atrioventricular node impingement or mitral apparatus interference with subsequent often poorly tolerated mitral regurgitation. Equally, a high deployment may occlude the coronary ostia resulting in coronary ischaemia, may cause aortic injury and the device may migrate or embolise (15).

As already mentioned, PVR is of particular concern given its impact on post-procedural outcomes, with valve

**Table 1** Sizing charts for use in common percutaneous aortic valve implants.

	CoreValve	Evolette R	Sapien 3*
Valve sizes (mm)	26, 29, 31	23, 26, 29	23, 26, 29
Aortic annulus dimension (mm)	20–23 (26)	18–20 (23)	18–22 (23)
	23–27 (29)	20–23 (26)	21–25 (26)
	26–29 (31)	23–26 (29)	24–28 (29)
Sheath size (Fr)	18	14	14, 16
Annulus area (mm <sup>2</sup> )	314.2–415.5	254.5–314.2	338–430 (23)
	415.5–572.6	314.2–415.5	430–546 (26)
	530.9–660.5	415.5–572.6	540–680 (29)
Annulus perimeter (mm)	62.8–72.3	56.5–62.8	**
	72.3–84.8	62.8–72.3	
	81.7–91.1	72.3–84.8	

\*Measurements based on MDCT. \*\*Perimeter-based sizing for the Sapien 3 valve is currently in development.

under-expansion identified as the predominant cause (44%) in the UK TAVI registry, followed by low valve positioning (22.2%) and high sitting implants (5.5%) (16). The availability of TOE during the implant procedure undoubtedly offers diagnostic and repositioning advantages in the setting of an unexpectedly significant degree of PVR, offering real-time diagnosis and avoiding delays in management. In our centre, we not only benefit from procedural TOE guidance for complication surveillance but also to guide implantation of the valve using the EchoNavigator technology. This fusion technology allows real-time overlay of 3D TOE onto fluoroscopy images, providing dual-modality guidance of device positioning, with an additional aim of supporting zero-contrast implantation procedures.

The issue of contrast in general is a much-debated area in both interventional and imaging fields, particularly in the TAVI arena, which is more typically comprises an older cohort with comorbid considerations often including chronic kidney disease. Although there is currently no consensus regarding the degree of threat posed by contrast-induced acute kidney injury (CIAKI), there is an increasing understanding that lower baseline estimated glomerular filtration rate (eGFR) and hydration status are potentially the most relevant predictors of this complication (17, 18). It is certainly a factor to be considered in the use of pre-procedural contrast-enhanced MDCT; however, the ability of MDCT to delineate the access anatomy, including the site and degree of any significant calcification, and to provide accurate aortic dimensions is an invaluable procedural planning aid. The suitability of peripheral access vessels to accommodate relatively large sheaths is vital in deciding the most appropriate implant route, and MDCT is able to identify potential points along the line of travel of the intended implant at which it simply might not fit (19). Furthermore, in an attempt to subsequently limit contrast administration during valve implantation, MDCT offers the ability to reconstruct suitable fluoroscopic angles for valve deployment, thereby potentially reducing the dose of contrast from repeated attempts to obtain the optimal angle peri-procedurally (20). However, peri-procedure 3D transoesophageal echo, in combination with fluoroscopy fusion imaging (EchoNavigator), can also be used to determine the appropriate fluoroscopy plane for valve deployment, and we have successfully performed several TAVI procedure with zero contrast using this approach.

However, what pre-procedural TOE imaging adds to that provided by MDCT is the encompassment of whole

heart function, in particular, LV performance and the assessment of concomitant valve disease. It is of particular interest and importance to define the degree and cause of any co-existing mitral regurgitation. The prevalence of those with at least moderate mitral regurgitation undergoing TAVI can be up to 33% (21), with an increased mortality associated with this. However, in those with functional MR not due to intrinsic valve or apparatus disease, the majority experience a significant improvement in the degree of MR after TAVI (21, 22). Alternatively, a very large mitral annulus (>35.5 mm), calcification of the mitral apparatus and intrinsic valve leaflet dysfunction have been reported as independent predictors of persistent MR after TAVI (22). Therefore, the ability to identify mitral pathology pre-procedurally may help guide decisions regarding the appropriateness of THV implantation as opposed to open surgical treatment of both the aortic and mitral dysfunction.

### The great debate

With all the above considerations in mind regarding the use of each modality in the planning and delivery of aortic implantation comes the requisite debate on the appropriate sizing of these implants. Given the complexity of the aortic annulus, the difficulties in measuring it accurately non-invasively become obvious. As discussed earlier, it is now accepted that 2D echocardiography (including TOE) will not accurately account for the elliptical geometry of the annulus and often underestimates the annulus diameter (23). In addition, although 2D TOE can often define the annular-ostial distance for the right coronary artery, the left main stem ostium usually lies in the coronal plane that cannot be acquired by standard 2D imaging (24). This is important as 3D TOE can not only measure the distance from annulus to LMS ostium but also can determine the length of the left coronary cusp which, if beyond a critical length, can occlude the LMS ostium after valve deployment. 3D TOE has been evaluated in head-to-head comparisons with MDCT with each technique offering potential advantages; 3D TOE demonstrates excellent temporal resolution, provides simultaneous physiological assessment, enables visualization of the hinge points and adjacent structures and does not suffer from motion artefact; however, it demonstrates poor lateral resolution and is subject to blooming artefact. MDCT on the other hand undoubtedly benefits from superior tissue lumen contrast, better lateral resolution and provides assessment

of peripheral arteries. There have certainly been a number of studies demonstrating a clinically significant difference between measurements obtained by each modality, with TOE often returning smaller sizing estimates than MDCT (5, 25, 26). A small but notable study by Ng and coworkers (5) found the annular underestimate by 3D TOE to be up to 9.6% compared to MDCT in 53 participants. However, the absolute difference was small and can likely be at least in part attributed to the lower spatial resolution of 3D TOE at that time.

However, even with some of the evidence returning a discrepancy in annular measurements between techniques, the literature to date does not clarify whether TOE undersizes inappropriately or appropriately with respect to MDCT. In a recent study, 29.5% of patients would have been deemed ineligible for TAVI because of overestimation of annular measurements by MDCT, a figure reduced to 1.3% with the use of TOE (27). This idea that the annulus is sized larger with MDCT was inadvertently highlighted in a paper that argued that undersizing with TOE was likely to have such significant clinical influence that it should only be used when MDCT was unavailable. However, a significant number (13.5%) of the group sized with MDCT in the study underwent a THV with an underfilled deployment balloon, hinting at concerns regarding potential oversizing in the MDCT cohort (Binder, JACC, 2013).

In contrast with these reports of sizing discrepancies, there have been a number of trials reporting good correlation between 3D TOE and MDCT for annular sizing (28, 29, 30), together with evidence of equivalence between MDCT and 3D TOE in predicting moderate or severe PVR, an important potential complication of THV implantation (13, 31, 32). In a recent small retrospective study (29), TOE, MDCT and MRI all performed comparatively well with surgical device sizing, whereas a larger trial demonstrated good concordance in measurements for both area and perimeter between 3D TOE, MDCT and angiography (28). Tsang and coworkers compared all three modalities (3D TOE, MDCT and CMR) for comparison of sizing in both calcium-containing rings and *ex vivo* heart models (25). MDCT tended to overestimate annular size compared with CMR, whereas 3D TOE tended to underestimate, the difference was greater for area than that for perimeter measures. Understandably, the reproducibility of both modalities fell with increasing calcium burden, and it should also be noted that these results were obtained from a non-beating heart model, thereby eliminating some of

the intrinsic difficulties of sizing during the cardiac cycle. Furthermore, a recent trial (8) investigated the off-label use of commercially available 3D TOE software for mitral valve assessment in 100 participants undergoing TAVI compared with retrospective MDCT (320 slice) analysis of the aortic annular measurements. The echo system performed well with measurements closely approximated to those obtained by MDCT with a non-significant difference in the receiver-operating characteristic for both area and perimeter measures (<1% difference). More than mild PVR was predicted by both modalities in this study with equivalent accuracy.

In debating this evidence it is of course worth noting that the modality that tends to return measurements in between those obtained by either TOE or MDCT is MRI (25); however, this technique does not seem to be extensively used for this purpose in daily practice, likely due to financial and logistical constraints in many centres. The current consignment of European and American guidelines (24) state that there is no consensus regarding the sought after gold standard for imaging in this area, and the use of 3D TOE is recommended for aortic annular sizing along with MDCT. The authors of this review are of the opinion that 3D TOE is appropriate for the sizing of percutaneous aortic implants when performed in a centre with sufficient experience in this technique.

## What does the future hold?

As for the future of 3D echocardiography in interventional valve therapy, it is likely that automated platforms will supersede the current dependence on manual outlining of the annular dimensions. A promising version of reconstruction software that generates a geometric model of the aortic root from 3D TOE images and then performs quantitative analysis of these structures has been validated in a small pilot study against both standard 3D TOE and MDCT (33). There is also emerging computational modelling software that predicts the *in vivo* morphology of the implanted valve via finite element computer simulation. This technology has been successfully applied to a MDCT model where it was able to accurately predict both frame morphology and calcium displacement after valve deployment (34). It seems inevitable that this type of automated valve modelling software will be applied to 3D echocardiography in the near future, potentially streamlining the process of annular analysis.

## Summary

Considering all of the above, the labelling of CT as the gold standard in the aortic annular sizing arena seems rather injudicious on the basis of varied evidence. As with all modalities, 3D TOE performs sufficiently robustly in high-volume centres with expertise in its acquisition and application.

For those with an interest in how the acquisition and analysis of 3D TOE should be undertaken, a stepwise approach is presented below.

## Stepwise approach to aortic annular sizing prior to TAVI

The first step in this process is to optimise the 2D TOE image with the aortic valve laid out in the sagittal view, usually 120–140°, which is familiar as the long-axis view. Once the 2D image has been optimised, the 3D zoom function is selected and the lateral and elevation widths customised to include the entirety of the LVOT and aortic root, taking care to include the sino-tubular junction. This 3D image is then acquired for post-processing on commercially available software. As measurements will be performed on a static image, the focus should remain on optimising the spatial rather than temporal resolution, being mindful of the necessary trade-off between frame rate and line density. For example, if image acquisition is via a Philips system, the use of the high-volume rate 3D acquisition function is not recommended as it enhances temporal resolution at the expense of the more important spatial resolution. The steps below outline the process in more detail.

1. Open the 3D analysis software package. The displayed image will be seen in four sections (sagittal, coronal, transverse and a full volume render). Select the mid-systolic frame ([Table 2](#)).
2. Align the sagittal and coronal planes to bisect the long-axis of the aortic valve ([Table 2](#)).
3. It is then necessary to align the transverse plane at the level of the annulus, at the most caudal attachment of the three cusps (the hinge point). In this case, the red line representing the transverse view is moved such that it crosses the hinge point of the right coronary cusp in the sagittal view (red arrow) and left (blue arrow) and non-coronary cusp (yellow arrow) in coronal view ([Table 2](#)). By rotating the orthogonal

plane of the transverse view, it is important to ensure that the annulus to be measured falls below the hinge points and does not include any caudal aspect of the cusps as this may interfere with accurate measurements. [Table 2](#) demonstrate how rotating the blue plane will help in assuring that the transverse view is bisecting the hinge point at the level of the non- and left-coronary cusps.

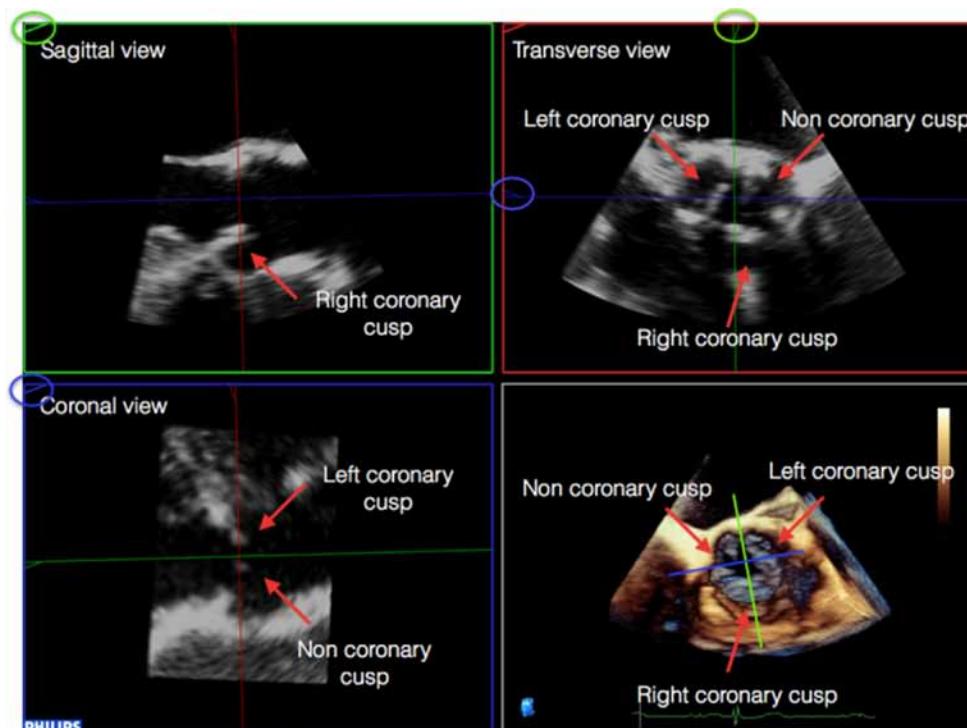
4. Select the transverse plane image from this dataset ([Table 2](#)).
5. Trace the circumference and area of the annulus by pointing and clicking in an iterative manner around it. This is done in a similar manner to CT, using the inner edge of the annulus, ignoring any soft low-intensity echoes and irregular bright (calcium) indentations, which are traced through.
6. Once the annulus has been measured, it is possible to identify the ostium of the left main coronary artery and measure the distance between this and the base of the left-coronary cusp (any measurement below 11 mm is considered too small to accommodate valve expansion without significant risk of coronary ostial occlusion). The figures below outline this process ([Table 2](#)). Firstly, align the sagittal and coronal planes to bisect the long-axis of the aortic valve. The red marker line on the sagittal plane is then advanced cranially along the aortic root until the origin of the left main stem (LMS) is identified as an indentation at roughly the 10 O'clock position of the transverse image. The green marker of the transvers image is then rotated anticlockwise until it is aligned with the LMS ostium. The distance from the base of the left-coronary cusp to the ostium can then be measured as shown by the yellow marker.

## Pitfalls

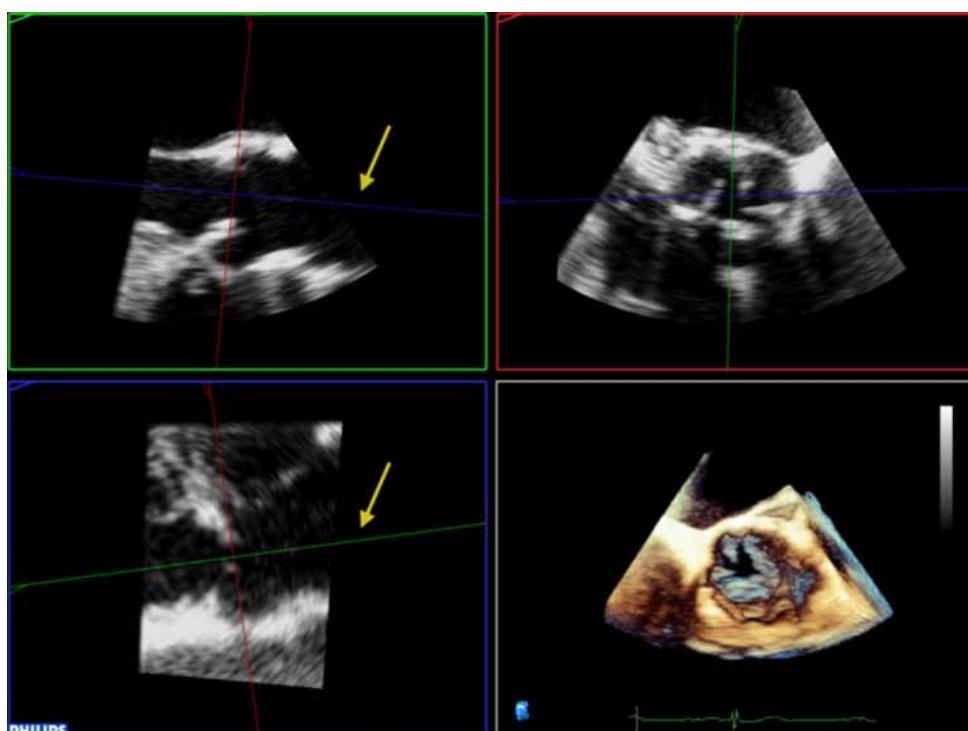
Dropout caused by extensive calcification in the LVOT is a potential cause of inaccuracy in the 3D TOE measurement of the aortic annulus. Adjusting each plane to minimise this effect can allow accurate measurements to still be gained; however, this must not be at the expense of losing sight of the actual annulus by over-manipulating the planes of view. It is also necessary to eliminate the base of the aortic cusps from the measurement by carefully rotating the orthogonal plane to ensure that what is being measured reflects the virtual annulus.

**Table 2** Step-wise approach to aortic annular sizing prior to TAVI.

1. Open the 3D analysis software package. The displayed image will be seen in four sections (sagittal, coronal, transverse and a full volume render). Select the mid-systolic frame. Note that the image in the right upper panel is a mirror image:



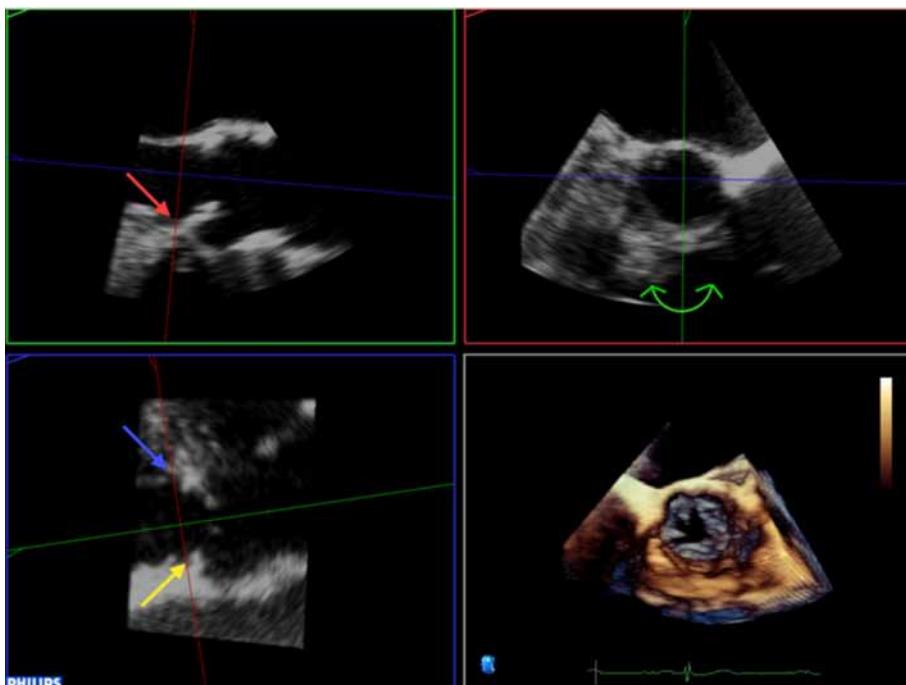
2. Align the sagittal and coronal planes to bisect the long-axis of the aortic valve:



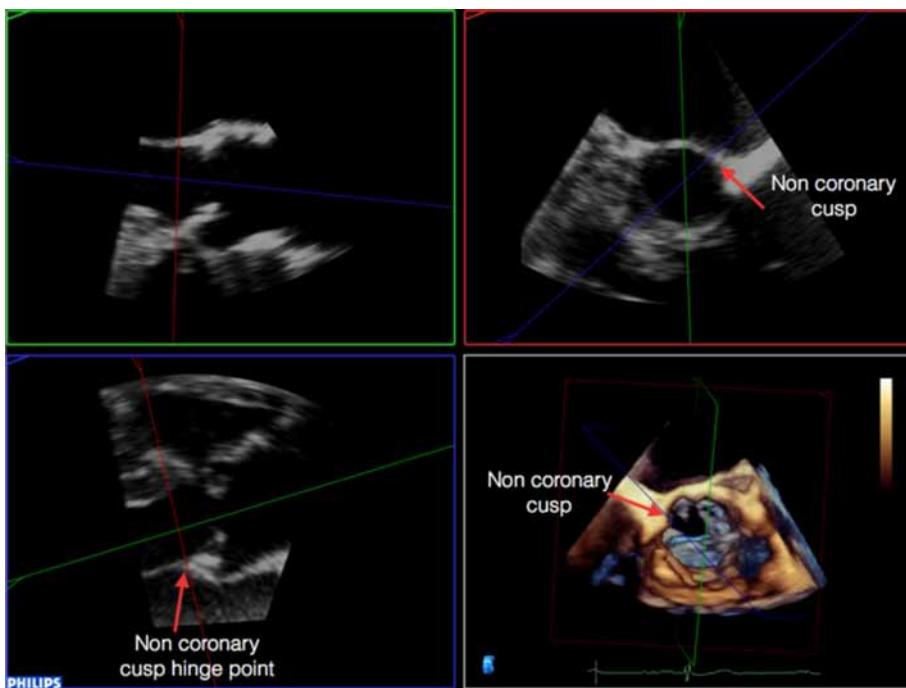
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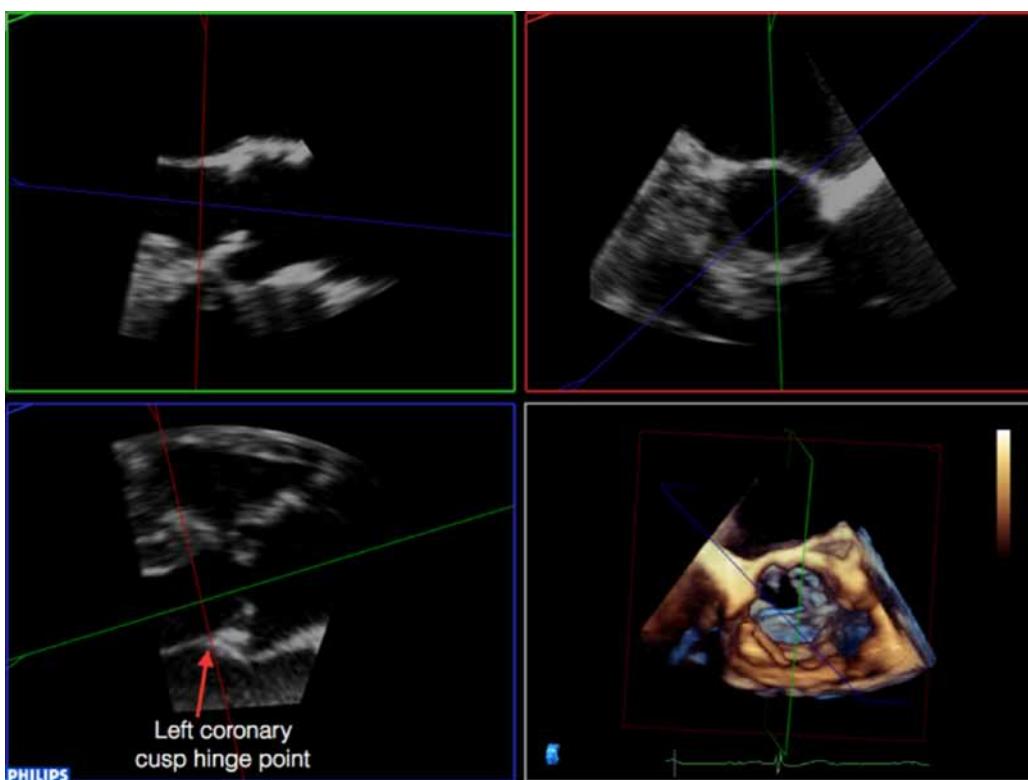
**Table 2** Continued.

3. It is then necessary to align the transverse plane at the level of the annulus, at the most caudal attachment of the three cusps (the hinge point). In this case, the red line representing the transverse view is moved such that it crosses the hinge point of the right coronary cusp in the sagittal view (red arrow) and left (blue arrow) and non-coronary cusp (yellow arrow) in coronal view. By rotating the orthogonal plane of the transverse view, it is important to ensure that the annulus to be measured falls below the hinge points and does not include any caudal aspect of the cusps as this may interfere with accurate measurements:

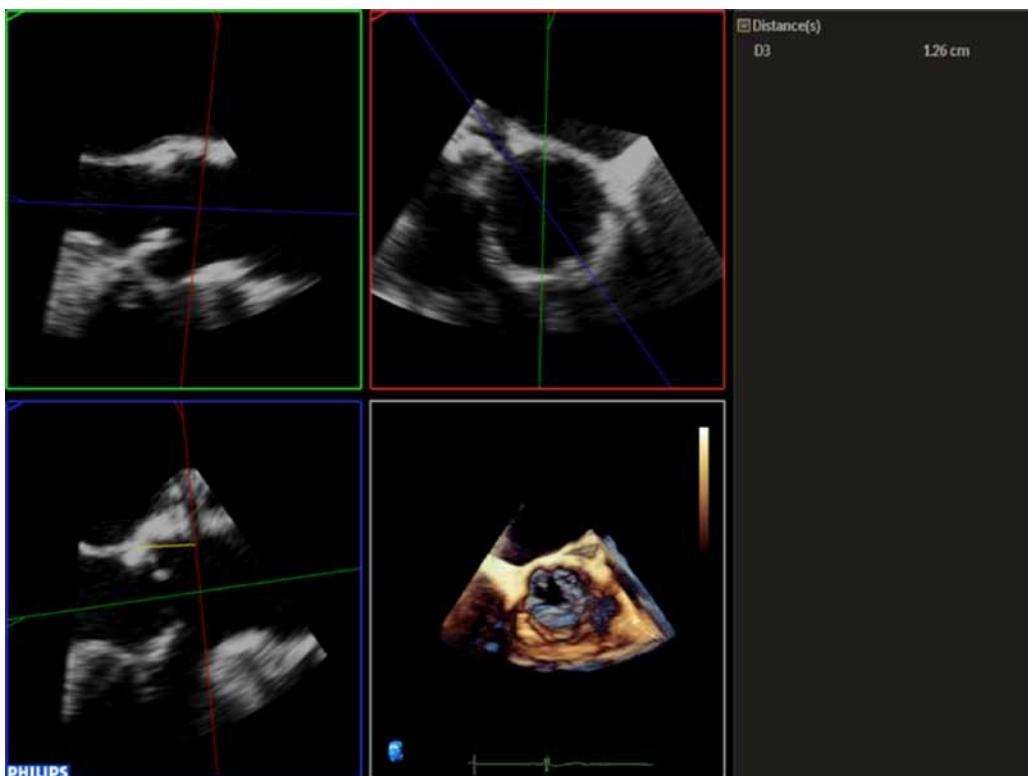


4. Rotating the blue plane will help in assuring that the transverse view is bisecting the hinge point at the level of the non and left coronary cusps:

*(Continued)*

**Table 2** Continued.

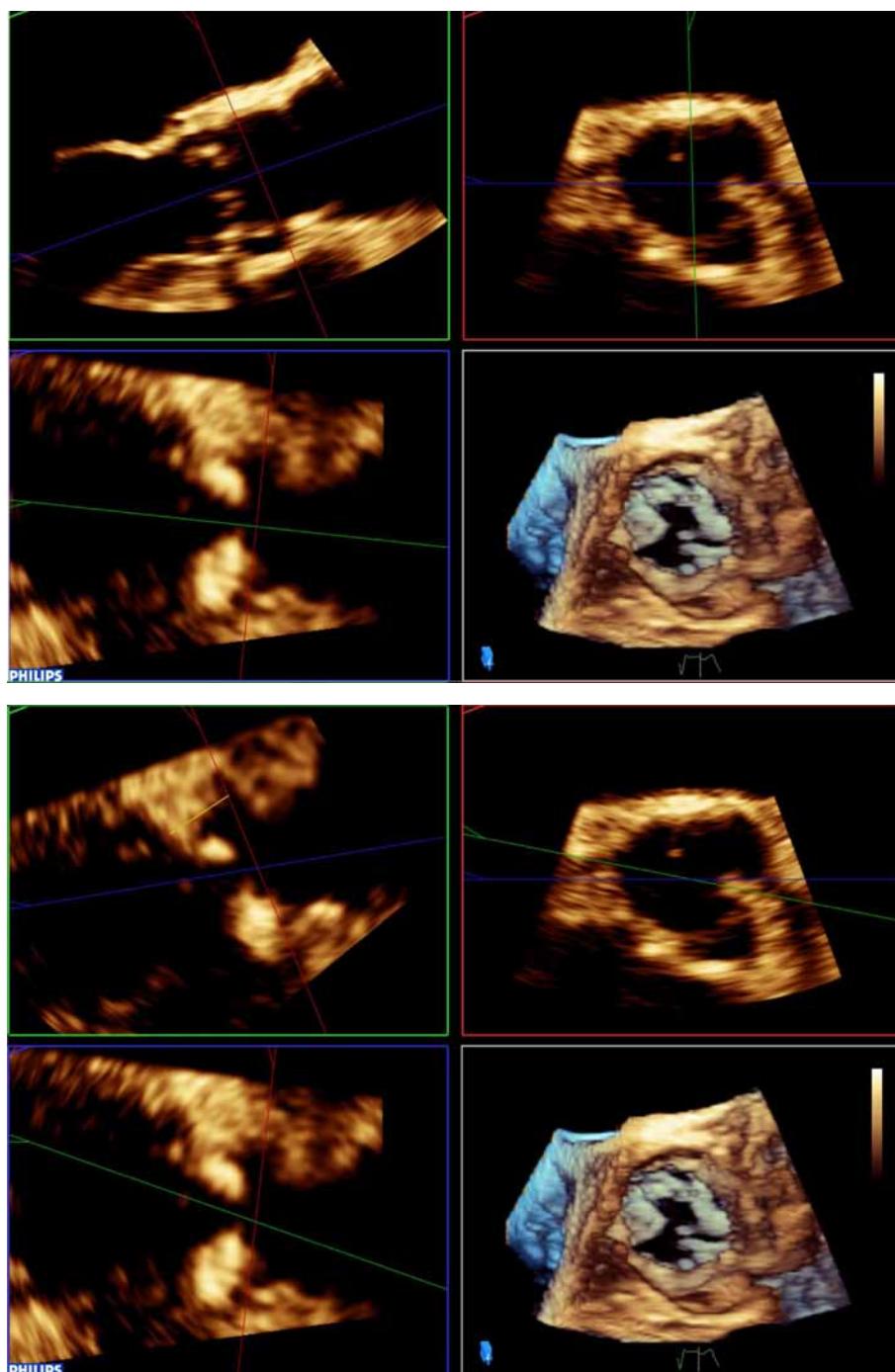
5. Select the transverse plane image from this dataset:



(Continued)

**Table 2** Continued.

6. Trace the circumference and area of the annulus by pointing and clicking in an iterative manner around it. This is done in a similar manner to CT, by using the inner edge of the annulus, ignoring any soft low intensity echoes and irregular bright (calcium) indentations, which are traced through.
7. Once the annulus has been measured, it is possible to identify the ostium of the left main coronary artery and measure the distance between this and the base of the left coronary cusp (any measurement below 11mm is considered too small to accommodate valve expansion without significant risk of coronary ostial occlusion). Firstly, align the sagittal and coronal planes to bisect the long-axis of the aortic valve. The red marker line on the sagittal plane is then advanced cranially along the aortic root until the origin of the left main stem (LMS) is identified as an indentation at roughly the 10 O'clock position of the transverse image. The green marker of the transvers image is then rotated anti-clockwise until it is aligned with the LMS ostium. The distance from the base of the left coronary cusp to the ostium can then be measured as shown by the yellow marker:



**Declaration of interest**

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of this review.

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